



BEAD Program ISP Documentation List
Initial Version 2.0
Date: 03.12.2024

This preliminary BEAD Program ISP Documentation List is subject to change to comply with changes to the BEAD federal program requirements. ConnectLA may request clarifications as needed, and require additional specifications, updates, and documentation for program eligibility. Additionally, this list is intended as a summarized version of required pre-qualification materials. Applicants should consult Initial Proposal Volume 2, Exhibit D for more detailed requirements related to each line item.

Required Documentation (Exhibit D GUMBO 2.0 Prequalification Questions)						
Item #	Requirements	Description	Phase	Other Notes	Citation	
1	Organizational Grant Capabilities	Negotiated Federal Indirect Cost (IDC) Rate Agreement	Risk Assessment		UG 2CFR200.332	
2	Organizational Grant Capabilities	Policy & Procedures: Pay Rates & Benefits	Risk Assessment	Questionnaire states that a URL could also be provided	UG 2CFR200.332	
3	Organizational Grant Capabilities	Policy & Procedures: Time and Attendance	Risk Assessment	Questionnaire states that a URL could also be provided	UG 2CFR200.332	
4	Organizational Grant Capabilities	Policy & Procedure: Leave	Risk Assessment	Questionnaire states that a URL could also be provided	UG 2CFR200.332	
5	Organizational Grant Capabilities	Policy & Procedure: Conflict of Interest	Risk Assessment	Questionnaire states that a URL could also be provided	UG 2CFR200.318c	
6	Organizational Grant Capabilities	Policy & Procedure: Travel	Risk Assessment	Questionnaire states that a URL could also be provided	UG 2CFR200.332	
7	Organizational Grant Capabilities	Policy & Procedure: Purchasing	Risk Assessment	Questionnaire states that a URL could also be provided	UG 2CFR200.317-327	
8	Organizational Grant Capabilities	Policy & Procedure: Vendor Payments	Risk Assessment	Questionnaire states that a URL could also be provided	UG 2CFR200.334	
9	Organizational Grant Capabilities	Policy & Procedure: Accounting, Grants & Budgeting	Risk Assessment	Questionnaire states that a URL could also be provided	UG 2CFR200.334	
10	Organizational Grant Capabilities	Policy & Procedure: Records Retention	Risk Assessment	Questionnaire states that a URL could also be provided	UG 2CFR200.334	
11	Organizational Grant Capabilities	Policy & Procedure: Inventory & Property Management	Risk Assessment			
12	Organizational Grant Capabilities	Provide a list of similar federal awards and descriptions organization has managed	Risk Assessment			
13	Organizational Grant Capabilities	Provide a description of the organizations financial tracking system	Risk Assessment		UG 2CFR200.334	
14	Organizational Grant Capabilities	Provide evidence of training provided to subrecipient staff on relevant policies, procedures and compliance requirements	Risk Assessment			
15	Organizational Grant Capabilities	Provide copies of signed subrecipient agreements or contracts	Risk Assessment		UG 2CFR200.334	
16	Financial Capability	Provide audited Financial Statements for last 3 years and certified by an independent certified public accountant	Risk Assessment/Prequalification		UG 2CFR200.332 / IP Volume 2, Exhibit D, 6.	
17	Financial Capability	Provide references to successful and timely execution of other publicly funded projects.	Pre-Qualification		IP Volume 2, Exhibit D, 2	
18	Financial Capability	Provide Bank Account Balances with provider funds dedicated to GUMBO 2.0 and detail	Pre-Qualification	Please reference your uploaded bank account statement and provide details of funds.	IP Volume 2, Exhibit D, 3, 4	
19	Financial Capability	Provide short description of how you intend to comply with the requirements of section 2.4.11.B, (Letter of Credit Limited Waiver Option) in the event subgrant awards(s) are received, including the particular option(s) for compliance that the applicant plans to utilize, the identity of qualified bank(s) that the applicant plans to enlist, and any other relevant description.	Pre-Qualification	These submissions must be made in good faith by applicants as representations that the applicant has the ability to obtain the required LOC commitment letters, final LOC, and bankruptcy opinion letters prior to final subgrant issuance.	IP Volume 2, Exhibit D, 5. NOFO pg. 72-73	
20	Financial Capability	Provide detailed Business plans and related analyses that substantiate the sustainability of your participation in the grant program, beginning on the signing of award and grant agreement and ending no less than five years after construction completion	Pre-Qualification	This can be provided in the form of pro forma statements or analyses and must include cash flow and balance sheet projections.	IP Volume 2, Exhibit D, 7	
21	Managerial Capabilities	Provide resumes for all key management personnel	Pre-Qualification		IP Volume 2, Exhibit D, 8	
22	Managerial Capabilities	Provide organizational charts	Pre-Qualification	Detailing all parent companies, subsidiaries and affiliates. If relevant, also provide a narrative on upcoming organizational changes including mergers, acquisitions, and organizational policies	IP Volume 2, Exhibit D, 8	
23	Technical Capability	Provide network design, diagram, project costs, build-out timeline and milestones for construction implementation and a capital investment schedule evidencing complete build-out and initiation of service within four years of the date you receive the grant award	Pre-Qualification	This must be clearly certified by a professional engineer and include a certification that your construction timeline, from grant agreement signature to construction completion, can be completed within 4 years.	IP Volume 2, Exhibit D, 11, 12	
24	Technical Capability	Upload and detail your plans to use an appropriately skilled and credentialed workforce including details on the credentials required and/or trainings provided	Pre-Qualification		IP Volume 2, Exhibit D, 10	
25	Technical Capability	State and detail the technology type you are proposing	Pre-Qualification		IP Volume 2, Exhibit D, 14	
26	Compliance with Laws	Detail your history of compliance with all federal, state and local laws for previous broadband projects such as those funded by GUMBO 1.0, RDOF, et al.	Pre-Qualification		IP Volume 2, Exhibit D, 16	
27	Compliance with Laws	Detail your commitment to comply with all federal, state and local laws.	Pre-Qualification		IP Volume 2, Exhibit D, 17	
28	Compliance with Laws	Detail your commitment to comply with occupational safety and health requirements, including explicitly permitting employees to create worker-led health and safety committees that management will meet with upon request.	Pre-Qualification		IP Volume 2, Exhibit D, 18	
29	Operational Capability	Provide evidence of timely filed Federal Communication Commission's Form 477 and Broadband DATA Act submissions	Pre-Qualification	Can be verified by uploading email confirmations or screenshotted verifications	IP Volume 2, Exhibit D, 20	
30	Ownership	Provide proof of ownership information. In the case of entities comprising more than a single party, such as a joint or consortium application entities formed to pursue BEAD funds in Louisiana, ownership information must be submitted for each participant in the multi-party entity. In addition, the underlying consortium agreement between the parties must also be provided in order for ConnectLA to assess whether the underlying obligations amongst the parties provide for sufficient operational, technical, and managerial commitments to meet BEAD program requirements.	Pre-Qualification		IP Volume 2, Exhibit D, 21	

Required Documentation - continued (Exhibit D GUMBO 2.0 Prequalification Questions)					
Item #	Requirements	Description	Phase	Other Notes	Citation
31	Other Public Funding	Please detail the speed, latency, geographic area, number of unserved and underserved locations committed to serve, amount of public funding, cost of service to consumer and matching commitments for every single project of GUMBO 1.0, RDOF, et al.	Pre-Qualification		IP Volume 2, Exhibit D, 22
32	Fair Labor Practices and Highly Skilled Workforce	Upload and detail your plans for ensuring compliance with Federal Labor and employment laws for your organization and contractors (NOFO language pg. 56-57) "These plans must address . . . In connection with the delivery of deployment projects"	Pre-Qualification		NOFO, IP Volume 2, Exhibit D,25
33	Fair Labor Practices and Highly Skilled Workforce	Upload and detail your plans for ensuring compliance with Federal Labor and employment laws for your organization and contractors (NOFO language pg. 58) "to ensure that subgrantees have the technical . . . Carrying out the proposed work"	Pre-Qualification		NOFO, IP Volume 2, Exhibit D, 26
34	Fair Labor Practices and Highly Skilled Workforce	Upload and detail your plans for ensuring compliance with Federal Labor and employment laws for your organization and contractors (NOFO language pg. 58) "If the project workforce or any subgrantees' . . . Ensure that deployment is done at a high standard."	Pre-Qualification		NOFO, IP Volume 2, Exhibit D, 27
35	Federal Child Labor Laws	Upload and detail your plans for ensuring compliance with Federal Child Labor provisions for your organization and contractors.	Pre-Qualification		NTIA Terms & Conditions
36	Fair Labor Practices and Highly Skilled Workforce	Provide a summary of your historical commitment and compliance with fair labor laws for the last three years. This should include your use of contracting and subcontracting arrangements, including staffing plans, with at least one example of each contractor and subcontractor's past performance in the context of a similar project.	Pre-Qualification		IP Volume 2, Exhibit D, 24
37	Network Capabilities	Provide your speed and latency.	Pre-Qualification		IP Volume 2, Exhibit D, 28
38	Deployment Requirements	Upload your detailed plans to deploy a reasonable amount of excess conduit capacity and conduit access intervals.	Pre-Qualification		IP Volume 2, Exhibit D, 32
39	Deployment Requirements	What is the speed to deployment you are committing to?	Pre-Qualification		IP Volume 2, Exhibit D, 30
40	Service obligations	Provide a high-level budget overview of marketing plans upon project completion	Pre-Qualification		IP Volume 2, Exhibit D, 38
41	Service Obligations	Detail your low-cost broadband service option. Explicitly define your low-cost broadband service option and justify why and how that is low-cost. This definition must include all recurring charges to subscribers and all non-recurring fees	Pre-Qualification		IP Volume 2, Exhibit D, 34
42	Service Obligations	Detail your marketing plans and budget to educate potential and current consumers of low-cost plans and federal subsidies for low-income households. Detail your multi-media methods such as online, print, radio, et al. Include equitable considerations (i.e., communicating with consumers who may not speak English).	Pre-Qualification		IP Volume 2, Exhibit D, 36
43	Service Obligations	Detail your methodology on notifying every single address in the proposed project area that they have access to low-cost plans and federal subsidies upon project completion.	Pre-Qualification		IP Volume 2, Exhibit D, 37
44	Service obligations	Upload your detailed network cybersecurity plan	Pre-Qualification		IP Volume 2 / NOFO pg. 39, 70 - 71
45	Service obligations	Upload your detailed supply chain risk management plan	Pre-Qualification		IP Volume 2 / NOFO 70 - 71
46	Service obligations	Certify and upload your plans to comply with Build America, Buy America	Pre-Qualification		IP Volume 2 / Exhibit D, 43, NOFO pg. 87 - 88
47	Subgrantee Selection Process	Provide a detailed Safeguarding Policy	Pre-Qualification		IP Volume 2, Exhibit D, 44



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Certifications & Attestations (Exhibit D GUMBO 2.0 Prequalification Questions)					
Item #	Requirements	Description	Phase	Other Notes	Citation
1	Additional	Do you attest and certify that you are able and will 1) commit to the grant application and that, 2) if granted a grant award, any inconsistency or failure to follow-through on application details shall be considered a violation of the grant agreement and subject to claw-back of funds, at the discretion of ConnectLA, 3) that you have read and understand the clawback conditions as stated in RS47\$4307?	Pre-Qualification		IP Volume 2, Exhibit D, 1
2	Financial Capacity	Do you certify that you are financially qualified to meet the obligations associated with the grant program?	Pre-Qualification		IP Volume 2, Exhibit D, 2
3	Financial Capacity	Do you certify that you have available funds for matching requirements that exceed the grant amount and that you will comply with all grant requirements, including service milestones of 10%, 35%, et al.?	Pre-Qualification		IP Volume 2, Exhibit D, 3
4	Financial Capacity	Do you certify that you have and will continue to have sufficient financial resources to cover your eligible costs for the project until ConnectLA authorizes additional disbursements?	Pre-Qualification		IP Volume 2, Exhibit D, 4
5	Technical Capability	Do you certify that you are technically qualified to complete and operate grant construction and that you are capable of carrying out the funded activities in a competent manner?	Pre-Qualification		IP Volume 2, Exhibit D, 9
6	Technical Capability	Do you certify that you will use an appropriately skilled and credentialed workforce?	Pre-Qualification		IP Volume 2, Exhibit D, 10
7	Technical Capability	Do you certify that your construction timeline, from grant agreement signature to construction completion, can be completed within 4 years?	Pre-Qualification		IP Volume 2, Exhibit D, 12
8	Technical Capability	Do you certify that your proposed network can deliver broadband service that meets the requisite performance requirements to all locations served by the project? This must clearly be certified by a professional engineer?	Pre-Qualification		IP Volume 2, Exhibit D, 13
9	Technical Capability	Do you certify that your deployed service can and will meet the BEAD-required minimum latency and speed of 100/20 Mb per second, or greater if seeking higher application points via scoring criteria. This will apply to every single location applied for?	Pre-Qualification		IP Volume 2, Exhibit D, 15
10	Operational Capability	Do you certify that you have at least two years of operational experience and can continue operational capability?	Pre-Qualification		IP Volume 2, Exhibit D, 19
11	Ensuring Subgrantee Accountability	Do you certify that you will submit timely monthly and quarterly progress reports, commit to the details of your grant application, submit to technical reviews and financial audits and uphold the grant agreement if awarded and signed?	Pre-Qualification		IP Volume 2, Exhibit D, 23
12	Fair Labor Practices and Highly Skilled Workforce	Do you certify your historical commitment and compliance with fair labor laws for the last three years?	Pre-Qualification		IP Volume 2, Exhibit D, 24
13	Network Capabilities	Do you certify that you will not have network outages that last more than 48 hours over any 365-day period, apart from natural disasters or force majeure?	Pre-Qualification		IP Volume 2, Exhibit D, 29
14	Deployment Requirements	Do you acknowledge that ConnectLA encourages underground construction and (NOFO LANGUAGE pg. 66): "Any Funded Network deployment project that involves laying fiber-optic cables or conduit underground or along a roadway must include interspersed conduit access points at regular and short intervals for interconnection by unaffiliated entities."	Pre-Qualification		IP Volume 2, Exhibit D, 31
15	Service Obligations	Do you certify your participation, or intent to participate, in the federal Affordable Connectivity Program, or any potential successor program?	Pre-Qualification		IP Volume 2, Exhibit D, 33
16	Service Obligations	Do you confirm your intent to provide services to each customer served by the grant funding on terms and conditions that are reasonable and non-discriminatory?	Pre-Qualification		IP Volume 2, Exhibit D, 35

Certifications & Attestations - continued (Exhibit D GUMBO 2.0 Prequalification Questions)					
Item #	Requirements	Description	Phase	Other Notes	Citation
17	Service Obligations	Do you attest that you have the following: (NOFO LANGUAGE pg. 68): "1. The prospective subgrantee has a cybersecurity risk management plan (the plan) in place that is either: a. operational, if the prospective subgrantee is providing service prior to the award of the grant; or b. ready to be operationalized upon providing service, if the prospective subgrantee is not yet providing service prior to the grant award; 2. The plan reflects the latest version of the National Institute of Standards and Technology (NIST) Framework for Improving Critical Infrastructure Cybersecurity (currently Version 1.1) and the standards and controls set forth in Executive Order 14028 and specifies the security and privacy controls being implemented; 3. The plan will be reevaluated and updated on a periodic basis and as events warrant; and 4. The plan will be submitted to the Eligible Entity prior to the allocation of funds. If the subgrantee makes any substantive changes to the plan, a new version will be submitted to the Eligible Entity within 30 days. The Eligible Entity must provide a subgrantee's plan to NTIA upon NTIA's request."	Pre-Qualification		IP Volume 2, Exhibit D, 39
18	Service Obligations	Do you attest that you have the following: (NOFO LANGUAGE pg. 70-71): "1. The prospective subgrantee has a SCRM plan in place that is either: a. operational, if the prospective subgrantee is already providing service at the time of the grant; or b. ready to be operationalized, if the prospective subgrantee is not yet providing service at the time of grant award. 2. The plan is based upon the key practices discussed in the NIST publication NISTIR 8276, Key Practices in Cyber Supply Chain Risk Management: Observations from Industry and related SCRM guidance from NIST, including NIST 800-161, Cybersecurity Supply Chain Risk Management Practices for Systems and Organizations and specifies the supply chain risk management controls being implemented; Notice of Funding Opportunity – 71 3. The plan will be reevaluated and updated on a periodic basis and as events warrant; and 4. The plan will be submitted to the Eligible Entity prior to the allocation of funds. If the subgrantee makes any substantive changes to the plan, a new version will be submitted to the Eligible Entity within 30 days. The Eligible Entity must provide a subgrantee's plan to NTIA upon NTIA's request"	Pre-Qualification		IP Volume 2, Exhibit D, 41
19	Service Obligations	Do you certify that you will comply with National Environmental Policy Act (NEPA) and National Historic Preservation Act (NHPA)?	Pre-Qualification		IP Volume 2, Section 2.4.5/ Environmental & Historical Preservation Fact Sheet
20	Service Obligations	Do you certify that you understand that NEPA Analysis will be required for every project funded through the BEAD Program and agree to provide sufficient information to allow for NEPA Analysis such as a detailed project description, including applicable supporting documentation?	Pre-Qualification		Environmental & Historical Preservation Fact Sheet
21	Service Obligations	Do you certify that you understand that you are responsible for identifying and obtaining applicable Federal, State and Local Permits (such as easements) required to complete project?	Pre-Qualification		Environmental & Historical Preservation Fact Sheet
22	Service Obligations	Do you certify that you have read and will abide by the Notice of Funding Opportunity (NOFO)?	Pre-Qualification		
23	Service Obligations	Do you certify that you have read and will abide by Louisiana's IP Volume II, including any addendums and changes?	Pre-Qualification		
24	Service Obligations	Do you certify that you have read and will abide by Louisiana Revised Statute 51§2370.29?	Pre-Qualification		
25	Service Obligations	Do you certify that if allowed to participate in the GUMBO 2.0 process: 1. Applicant firm will have one (1) Admin user and no more than four (4) non-admin users. The admin user will be the only one that can officially submit applications and commit the Application company. 2. All users will be required to sign a EULA before using the GUMBO 2.0 SaaS platform	Prequalification		
26	Service obligations	Do you certify that you will comply with Build America, Buy America?	Pre-Qualification		IP Volume 2 / Exhibit D, 43, NOFO pg. 87 - 88
27	Subgrantee Selection Process	Do you certify that you will engage in fair, equal and equitable business practices such as grant application safeguards against collusion, bias, conflicts of interest, arbitrary decisions, and other factors that could undermine confidence in the public process?	Pre-Qualification		IP Volume 2, Exhibit D, 44
28	Subgrantee Selection Process	Do you certify that you will adhere to prohibited communications rules as defined by the FCC until Louisiana announces final awards at the end of the GUMBO 2.0 award process?	Pre-Qualification		IP Volume 2, Exhibit D, 45
29	Subgrantee Selection Process	Do you certify your commitment to keep your application confidential until Louisiana announces final awards at the end of the GUMBO 2.0 award process?	Pre-Qualification		IP Volume 2, Exhibit D, 47
30	Additional	Do you acknowledge that your grant application creates a binding obligation if accepted by Louisiana and cannot be withdrawn once submitted until Louisiana announces the conclusion of the GUMBO 2.0 award process?	Pre-Qualification		IP Volume 2, Exhibit D, 48

Certifications & Attestations - continued (Exhibit D GUMBO 2.0 Prequalification Questions)

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31	Additional	Do you acknowledge that Louisiana reserves the right to request modifications and/or attach conditions to GUMBO 2.0 awards, including but not limited to: Louisiana reserving the right to eliminate BEAD funding for some or all CAls and/or underserved locations contained within an application, along to be based on the reasonable per-location cost values established by the CostQuest Associates cost data used to establish initial BEAD support offers, et al?	Pre-Qualification		IP Volume 2, Exhibit D, 49
32	Additional	Do you attest that all information provided in application and supporting documents are true and accurate to the best of your knowledge?	Pre-Qualification		DOC Standard Terms and Conditions - "Criminal and Prohibited Activities", 32
33	Federal Child Labor Laws	Do you attest and certify that you will follow the federal child labor provisions of the Fair Labor Standards Act of 1938?	Pre-Qualification		NTIA Terms & Conditions
34	Financial Capability	Do you attest that you will provide a Letter of Credit to LAConnect prior to entering into grant agreement?	Pre-Qualification	Per the BEAD NOFO, "the letter shall, at a minimum, provide... the Bankruptcy Code."	IP Volume 2, Exhibit D, 5. NOFO pg. 72-73
35	Compliance with Laws	Do attest that you have complied with all federal, state and local laws for previous broadband projects such as those funded by GUMBO 1.0, RDOF, et al.?	Pre-Qualification		IP Volume 2, Exhibit D, 16